



10/508737#2

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF: Adolf Bernds et al.  
SERIAL NO: 10/508,737 GROUP ART UNIT: Not assigned  
FILED: September 21, 2004 EXAMINER: Not assigned  
CUSTOMER NO.: 27162  
FOR: Device and Method for Laser Structuring Functional Polymers and the Uses thereof  
ATTY/DKT NO.: 411000-121

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §1.56(a), Applicant(s) hereby cite(s) the enclosed documents listed on the attached copy of Form PTO-1449 which are believed to be material to the patentability of the above-identified application.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 indicated below:

X §1.97(b)

This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits. No fee or statement is required.

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- (1) A Final Action or under 37 CFR §1.113; OR
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\_\_\_\_ The statement as specified in 37 CFR §1.97(e) set out below; OR

\_\_\_\_ The fee of \$180.00 under 37 CFR §1.17(p).

\_\_\_§1.97(d) This Information Disclosure Statement is filed after the mailing date of either:

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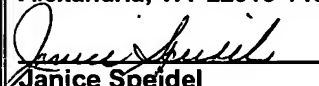
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- (3) The petition fee of \$180.00 set out in 37 CFR §1.17(i).

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\_\_\_ each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement;  
or

\_\_\_ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

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| <br>Janice Speidel  | Jan. 7, 2005<br>Date |

#243775

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|------------------------|--------------------|
| Application Number     | 10/508,737         |
| Filing Date            | September 21, 2004 |
| First Named Inventor   | Adolf Berndt       |
| Group Art Unit         | Not Assigned       |
| Examiner Name          | Not Assigned       |
| Attorney Docket Number | 411000-121         |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Use as many sheets as necessary)

Of 1

**U.S. PATENT DOCUMENTS**

| Examiner Initial* | Cite No. <sup>1</sup> | Document Number<br>Number-Kid Code <sup>2</sup> (if known) | Publication- Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-------------------|-----------------------|--|---------------------------------|--|---|
|                   | 1                     | 5,652,645  | 07/29/1997                      | Jain   | Cited by Examiner in priority<br>German patent application                      |
|                   | 2                     | 5,486,851  | 01/23/1996                      | Gehner et al.                                      | Cited by Examiner in priority<br>German patent application                      |
|                   | 3                     |  |                                 |  |   |
|                   | 4                     |  |                                 |  |   |
|                   | 5                     |  |                                 |  |   |
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|                   | 12                    |  |                                 |  |   |
|                   | 13                    |  |                                 |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | Publication- Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-------------------|-----------------------|--|---------------------------------|---|--|----------------|
|                   | 14                    | DE 100 33 112 (title page only)  | 01/22/2002                      | Bao   | Cited by Examiner in<br>priority German patent<br>application                      |                |
|                   | 15                    | DE 100 61 297 (title page only)  | 06/27/2002                      | Drury   | Cited by Examiner in<br>priority German patent<br>application                      |                |
|                   | 16                    |  |                                 |   |  |                |
|                   | 17                    |  |                                 |   |  |                |
|                   | 18                    |  |                                 |   |  |                |

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED